



# On the Complexity of Universal Leader Election

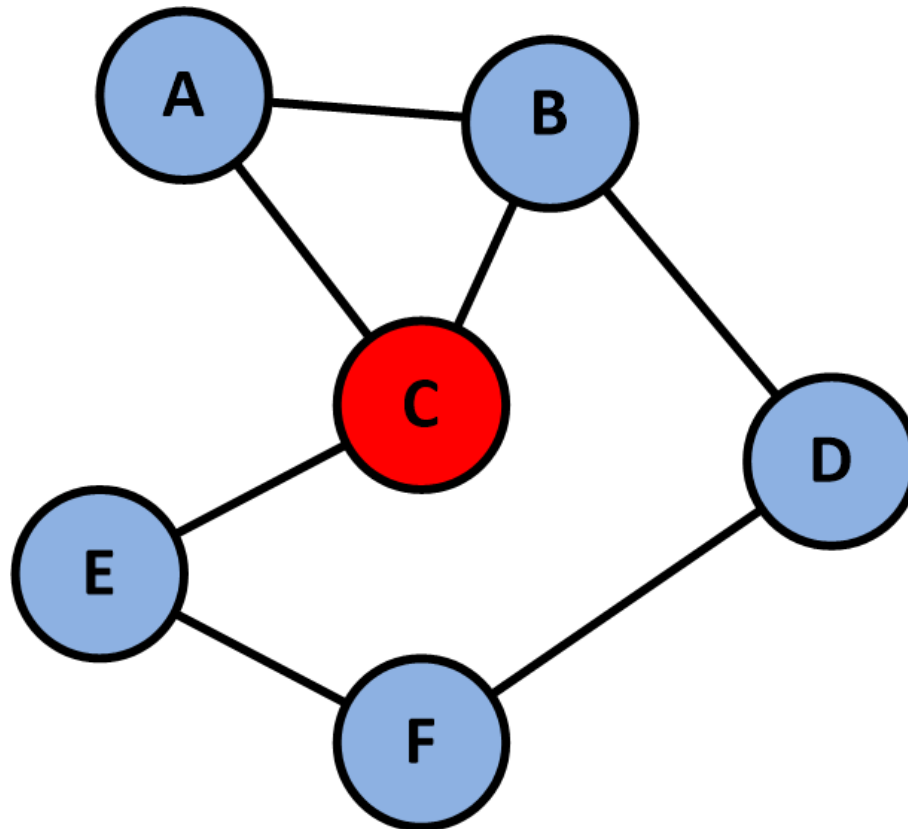
Paper by S. Kutten, G. Pandurangan, D. Peleg, P. Robinson and A. Trehan

Presentation by Adrian-Philipp Leuenberger

# Overview

- ▶ Introduction and definitions
- ▶ Proofing the complexities
- ▶ Example algorithm

# Leader Election – What is it?



# Leader Election – What is it?

- ▶ Network nodes elect *unique* leader among themselves
- ▶ Implicit: Only leader knows that he is the leader
- ▶ Explicit: All nodes know the leader
  - Not focus of paper
- ▶ Important for resource–constrained networks
  - Peer–to–peer networks
  - Ad–hoc networks
  - Sensor networks

# Definitions

## Monte Carlo algorithm

- ▶ Randomized algorithm
- ▶ Delivers correct result with probability  $P = 1 - \varepsilon$ ,  
 $\varepsilon > 0$

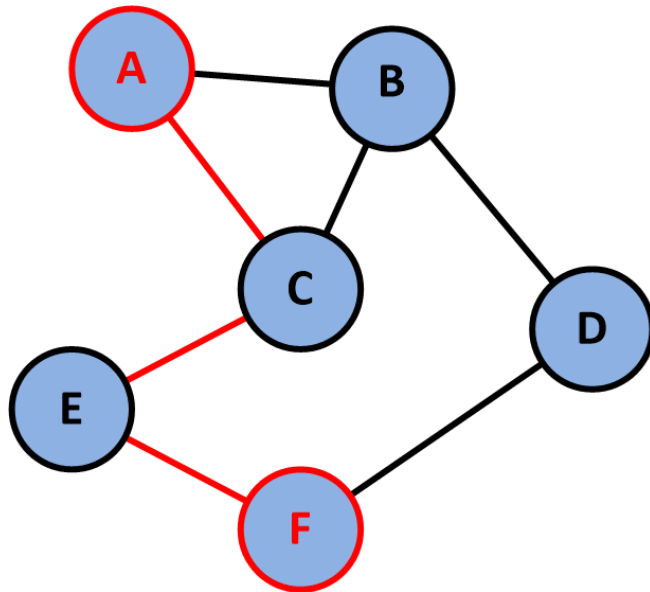
## Universal leader election algorithm

- ▶ Take any  $n$  and  $m$
- ▶ Algorithm succeeds on any graph with  $n$  nodes and  $m$  edges
- ▶ With success probability  $1 - \varepsilon$

# Definitions

## Network Diameter $D$

- ▶ Longest shortest path between any two nodes



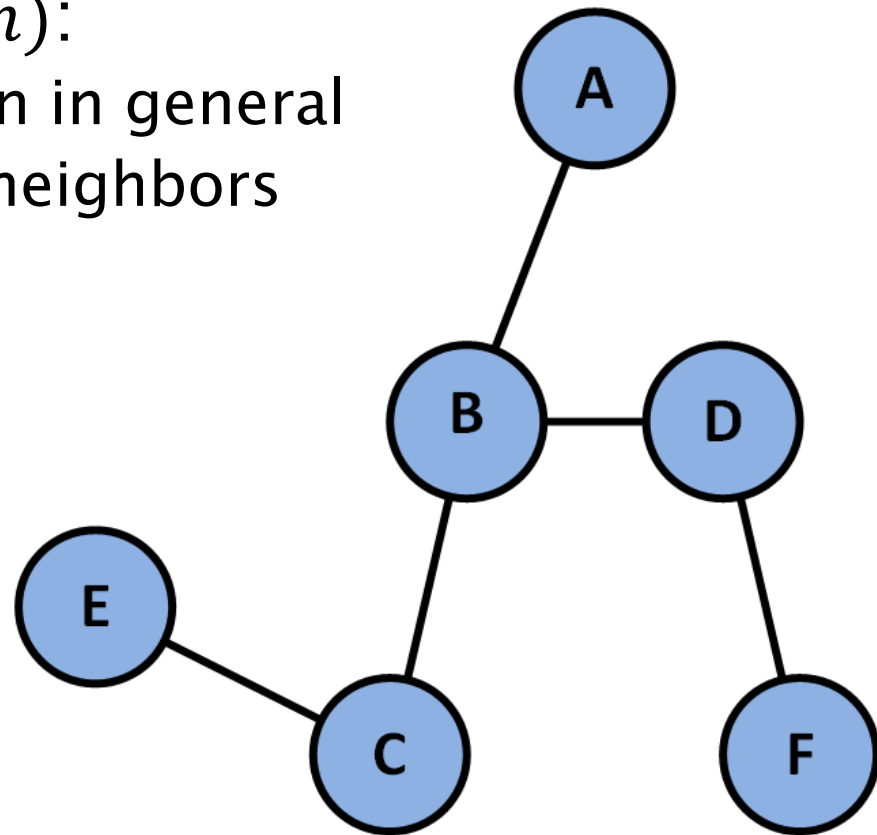
- Here,  $D = 3$

# So what's the paper about?

- ▶ Focus on *universal* LE algorithms
- ▶ Worst case analysis for message and time complexity
- ▶ Lower bounds:
  - Time complexity  $\Omega(D)$ 
    - Network diameter  $D$
  - Message complexity  $\Omega(m)$ 
    - $m$  edges
- ▶ Algorithms that meet the lower bounds

# But why *those* lower bounds?

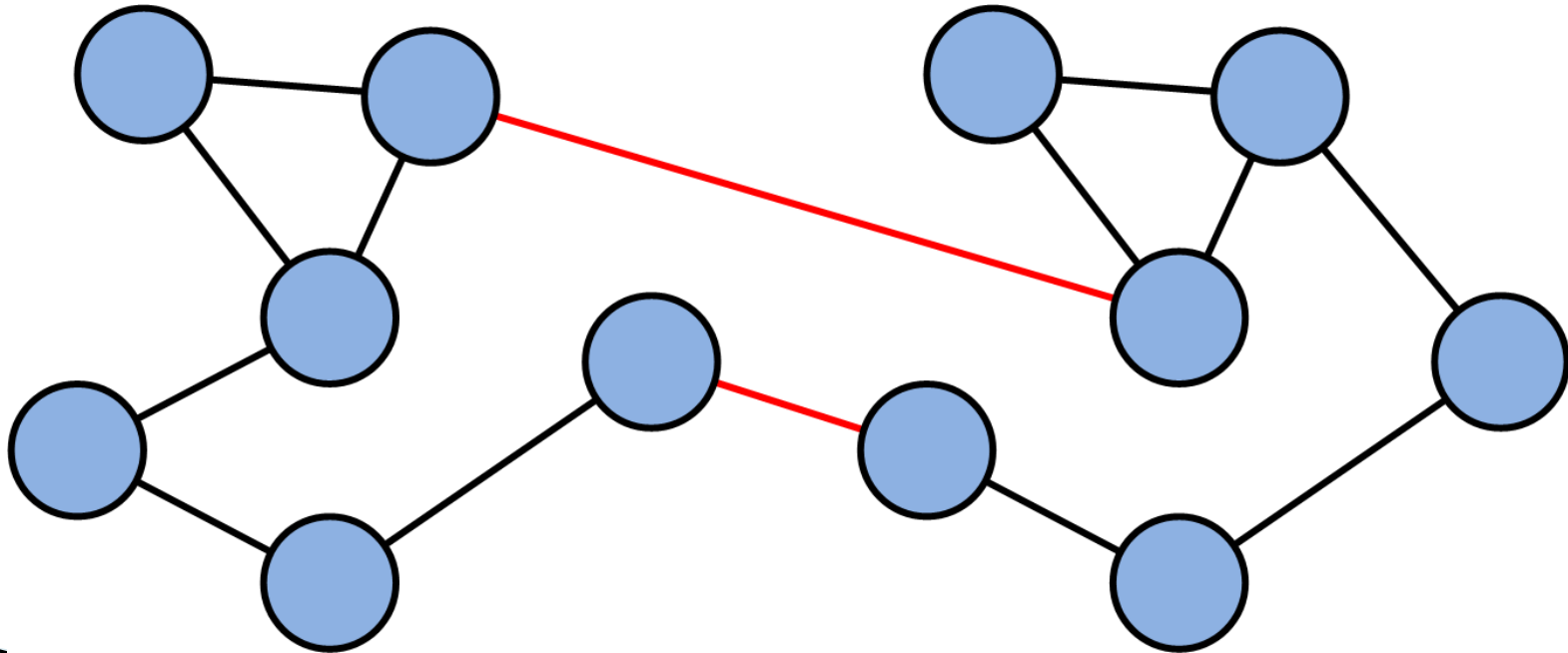
- ▶ Time complexity  $\Omega(D)$ :
  - Worst case: Send message on longest shortest path
- ▶ Message complexity  $\Omega(m)$ :
  - Network topology unknown in general
  - Must send message to all neighbors





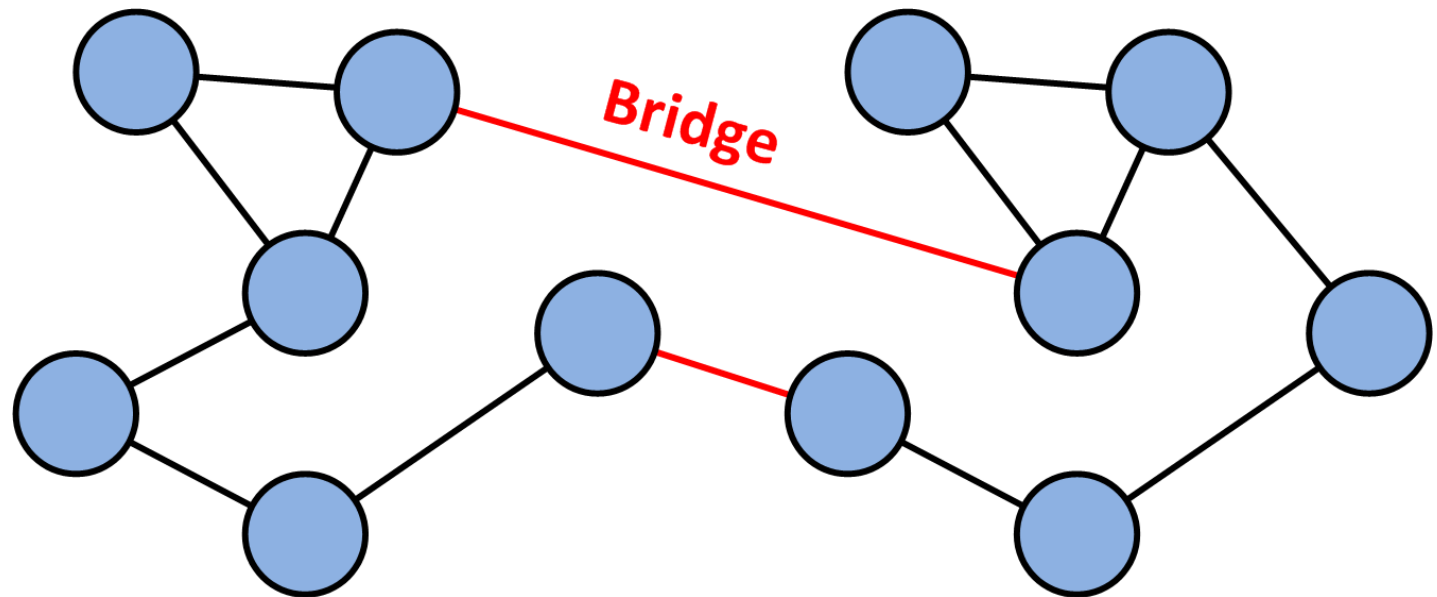
# Dumbbell graphs

- ▶ Take a 2-connected graph  $G$ 
  - $n$  nodes,  $m$  edges
- ▶  $m$  edges  $\rightarrow 2m^2$  possible dumbbell graphs
- ▶  $I$ : collection of all dumbbell graphs for  $G$



# Bridge crossing

- ▶ Algorithm  $B$  solves BC iff a message is sent over a **bridge**

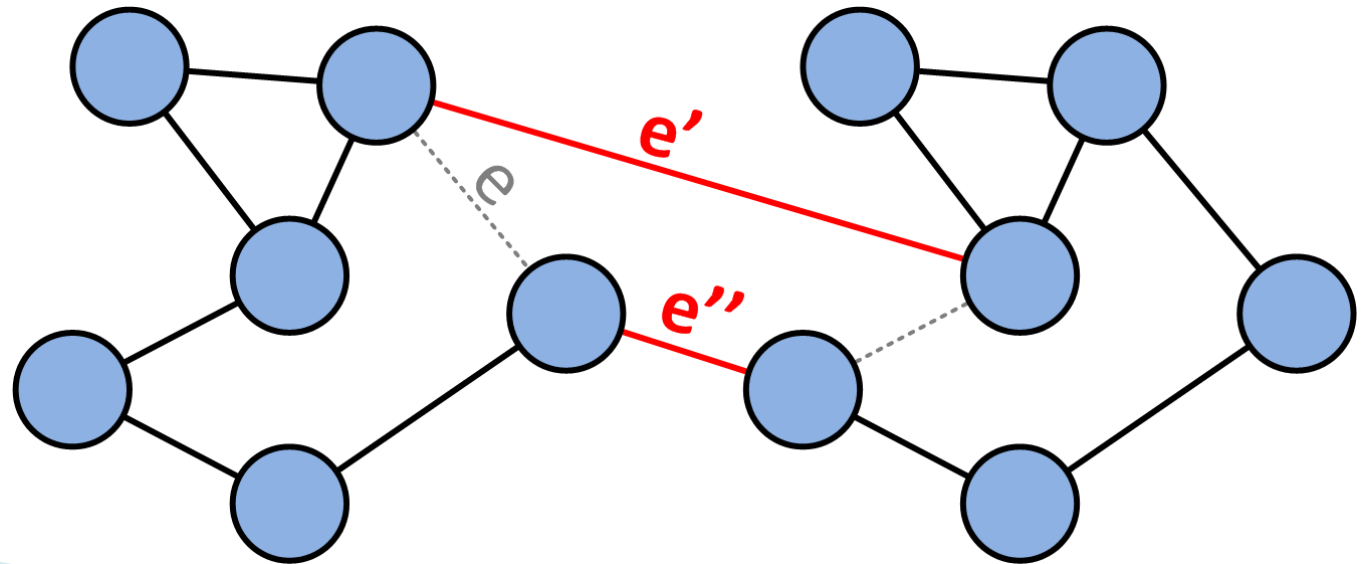


# Proving $\Omega(m)$ for LE – Proof idea

- ▶ Reduce *Bridge Crossing* to *Leader Election*
  - Show  $\Omega(m)$  lower bound for *Bridge Crossing*
    - ➔ Imply  $\Omega(m)$  lower bound for *Leader Election*
- ▶ Proof lower bound  $\Omega(m)$  for message complexity for *Bridge Crossing*
- ▶ Use *Dumbbell* graphs for the proof

# Proving $\Omega(m)$ for BC – High level proof idea

- ▶ Take any *deterministic* BC algorithm  $B$
- ▶  $T(e)$ : First round a message passes edge  $e$  in disconnected graph
- ▶ After  $T$  rounds:
  - At least  $T$  messages
- ▶ Two cases:
  - $T(e) = T(e')$
  - $T(e) = T(e'')$



# Proving $\Omega(m)$ for LE – Step 1

## ▶ Assumption:

- Universal LE algorithm  $R$ 
  - Success probability  $1 - \beta$
- Deterministic LE algorithm  $A$ 
  - Solves LE on at least a  $1 - 2\beta$  fraction of  $I$

## ▶ Lemma 1:

- $\varepsilon$  and  $\delta \geq \frac{1}{4}$  positive constants with  $7\varepsilon + \delta \leq 1$
- $A$  solves LE on at least a  $1 - \varepsilon$  fraction of  $I$
- ➔  $A$  solves BC on at least a  $\delta$  fraction of  $I$

## ▶ Therefore, with $\varepsilon = 2\beta$ :

- LE algorithm  $A$  achieves BC on  $\delta \geq \frac{1}{4}$  of all graphs in  $I$ .

# Proving $\Omega(m)$ for LE – Step 2

## ▶ Assumption:

- Universal LE algorithm  $R$ 
  - Success probability  $1 - \beta$
- Deterministic LE algorithm  $A$ 
  - Solves LE on at least a  $1 - 2\beta$  fraction of  $I$

## ▶ We know:

- $A$  achieves BC on at least  $\frac{1}{4}$  of all graphs in  $I$ .

## ▶ Lemma 2:

- If  $A$  solves BC on at least  $\frac{1}{4}$  of all graphs in  $I$
- Then expected message complexity is  $\Omega(m)$

## ▶ Therefore:

- Algorithm  $A$  has an expected message complexity of  $\Omega(m)$ .

# Proving $\Omega(m)$ for LE – Step 3

- ▶ Assumption:
  - Universal LE algorithm  $R$ 
    - Success probability  $1 - \beta$
  - Deterministic LE algorithm  $A$ 
    - Solves LE on at least a  $1 - 2\beta$  fraction of  $I$
- ▶ We know:
  - $A$  achieves BC on at least  $\frac{1}{4}$  of all graphs in  $I$ .
  - $A$  has an expected message complexity of  $\Omega(m)$ .
- ▶ Lemma 3 (Yao's Minmax Principle):
  - If  $A$  has cost  $X$  and success rate at least  $1 - 2\beta$  on  $I$
  - Then  $R$  has worst case cost of at least  $X/2$  and success probability  $1 - \beta$  on  $I$
- ▶ Therefore:
  - If  $A$  succeeds on at least  $1 - 2\beta$  fraction of  $I$  with  $\Omega(m)$  messages
  - Then  $R$  must succeed with probability  $1 - \beta$  and  $\Omega(m/2) = \Omega(m)$  messages.

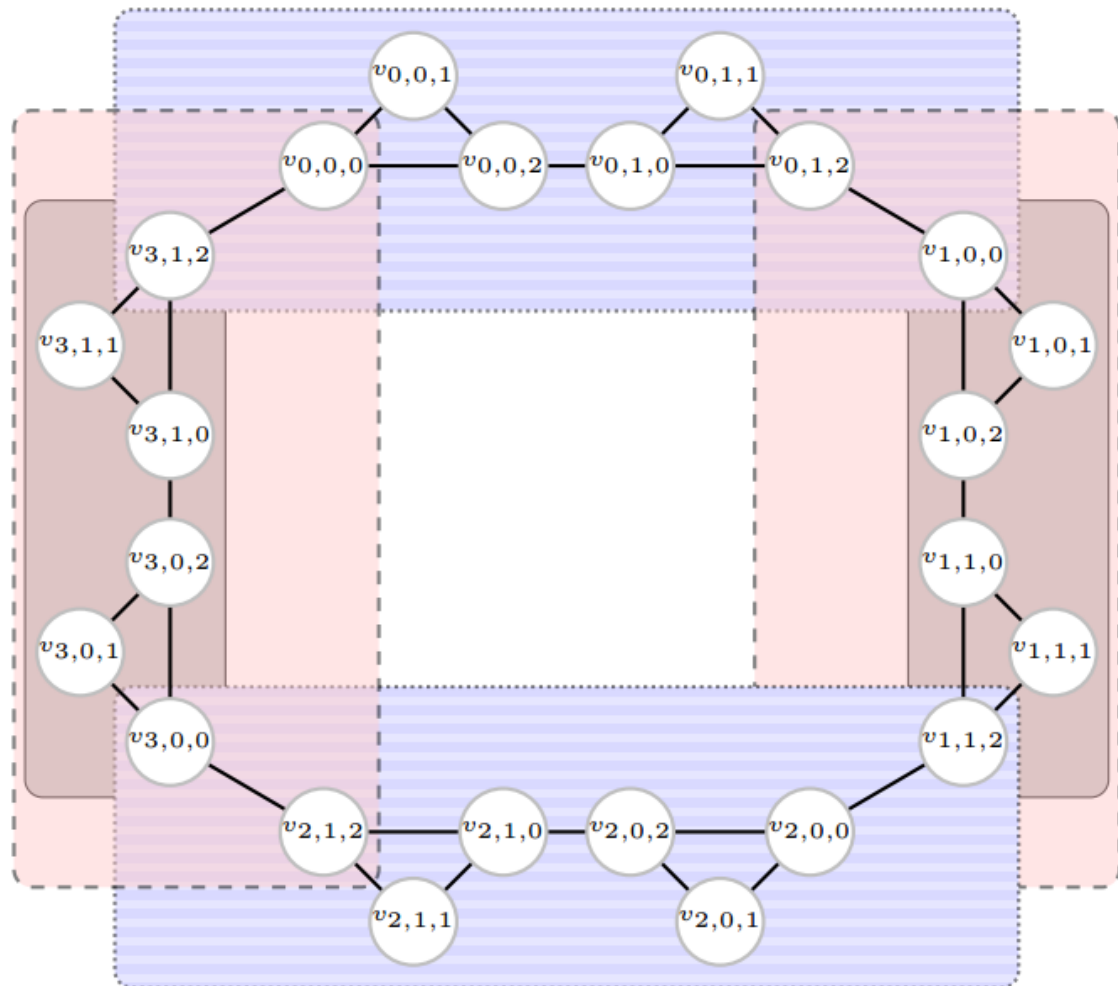
# Proving $\Omega(m)$ – What just happened

1. Deterministic LE algorithm  $A$  likely solves bridge crossing
2. Bridge crossing:  $\Omega(m)$  messages in expectation
3. LE algorithm  $A$  must have expected message complexity  $\Omega(m)$
4. Cost of  $A$  implies lower bound for randomized algorithm  $R \rightarrow \Omega(m)$  messages expected for any  $R$



# Proving $\Omega(D)$ – The idea

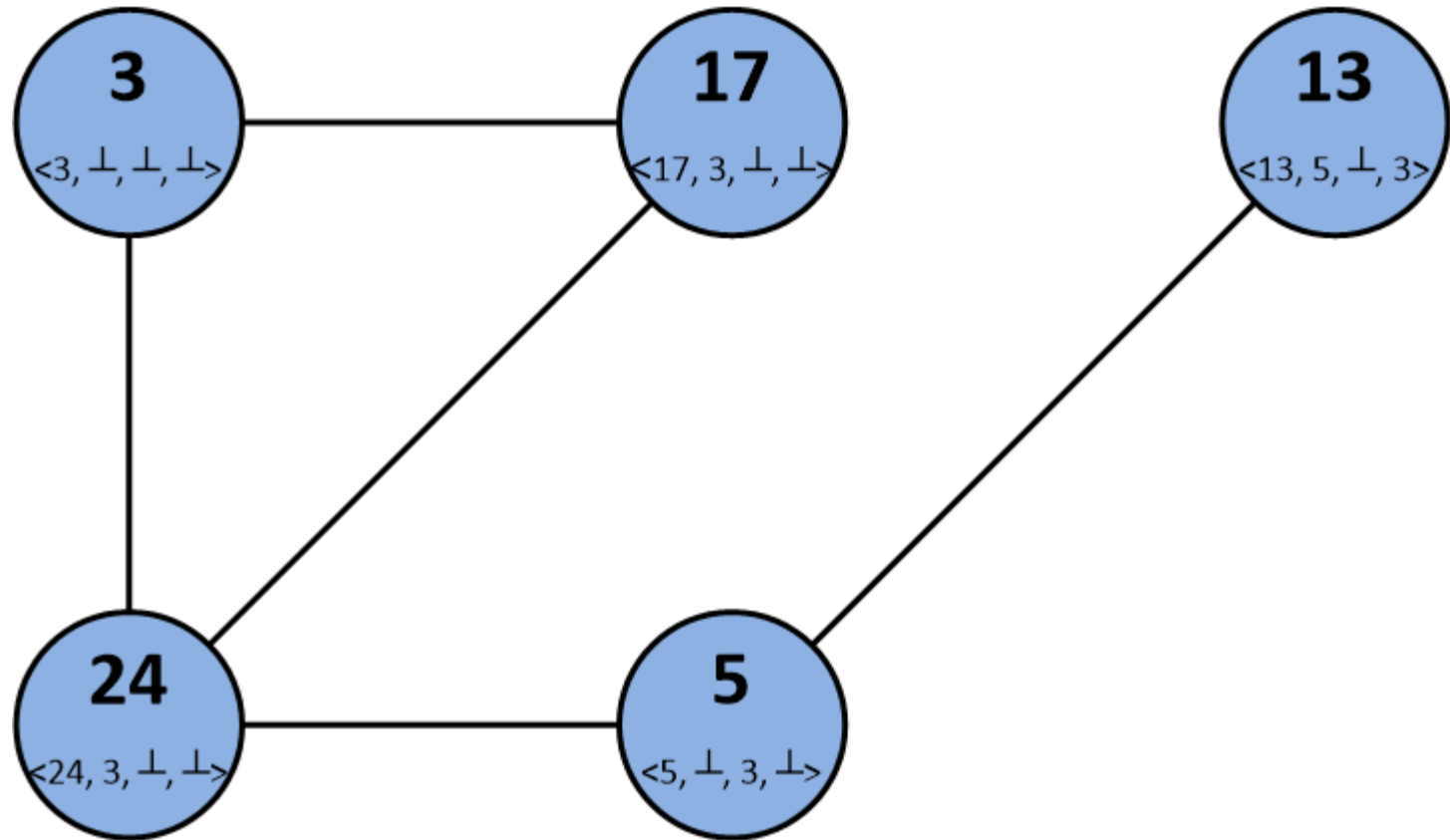
- ▶ Take any  $n$  and  $D$ 
  - $D' = 4\lceil D/4 \rceil$  cliques
  - $\gamma(n) * D' \geq n$  nodes per clique
  - 4 neighborhoods or *arcs*
  - Execution time  $T$
  
- ▶ Two cases:
  - $T \in o(D)$  with  $p = \delta$
  - $T \in \Omega(D)$  with  $p = 1 - \delta$



# Example algorithm: The *basic* Least Element algorithm

- ▶ Each node  $n$  keeps track of its local state
  - Rank  $\rho(n) \in [1, n^4]$
  - List of all least ranks of its neighbors
- ▶ Nodes choose their rank  $\rho(n)$  randomly
- ▶ Succeeds if there is only one node with least rank

# The *basic* Least Element algorithm



# The *basic* Least Element algorithm

- ▶ Observations
  - In each round
    - Node  $n$  forwards at most one message to neighbors
    - At most  $2m$  rank messages in total
- ▶ Time complexity is  $O(D)$ 
  - At most  $D$  time units to forward on longest shortest path
- ▶ *Expected* message complexity is  $O(m \log n)$ 
  - $O(m)$  messages sent per round
  - $O(\log n)$  messages stored and forwarded per node

# The *improved* Least Element algorithm

- ▶ Try to achieve  $O(m)$  message complexity instead of  $O(m \log n)$
- ▶ Take any function  $f(n) \leq n$
- ▶ A nodes becomes candidates with probability  $f(n)/n$
- ▶ Candidates
  - Choose rank rank from  $[1, n^4]$
  - Forward own rank
- ▶ Non-candidates
  - Choose rank  $n^4 + 1$
  - Only update list and forward received ranks
- ▶ Algorithm succeeds if
  - At least one node chooses to be a candidate
  - There is only one node with least rank

# The *improved* Least Element algorithm (cont'd)

- ▶ Time complexity of improved version is still  $O(D)$
- ▶ Message complexity is  $O(m * \min(\log f(n), D))$
- ▶ Success probability is  $1 - 1/e^{\Theta(f(n))}$
  
- ▶ Choose  $f(n) = 4 \log(1/\varepsilon)$  for some constant  $\varepsilon > 0$ , then
  - Success probability at least  $1 - \varepsilon^{\Theta(1)}$
  - Message complexity is  $O(m * \min(\log \log(1/\varepsilon), D)) = O(m)$

# What was shown

- ▶ Worst case lower bounds for universal LE algorithms:
  - $\Omega(D)$  time complexity
  - $\Omega(m)$  messages
- ▶ Algorithm that also matches the bounds

# References

- ▶ On the Complexity of Universal Leader Election
  - Shay Kutten, Gopal Pandurangan, David Peleg, Peter Robinson and Amitabh Trehan, PODC '13
- ▶ Efficient Distributed Approximation Algorithms via Probabilistic Tree Embeddings
  - Maleq Khan et. al., PODC '08



Any questions?