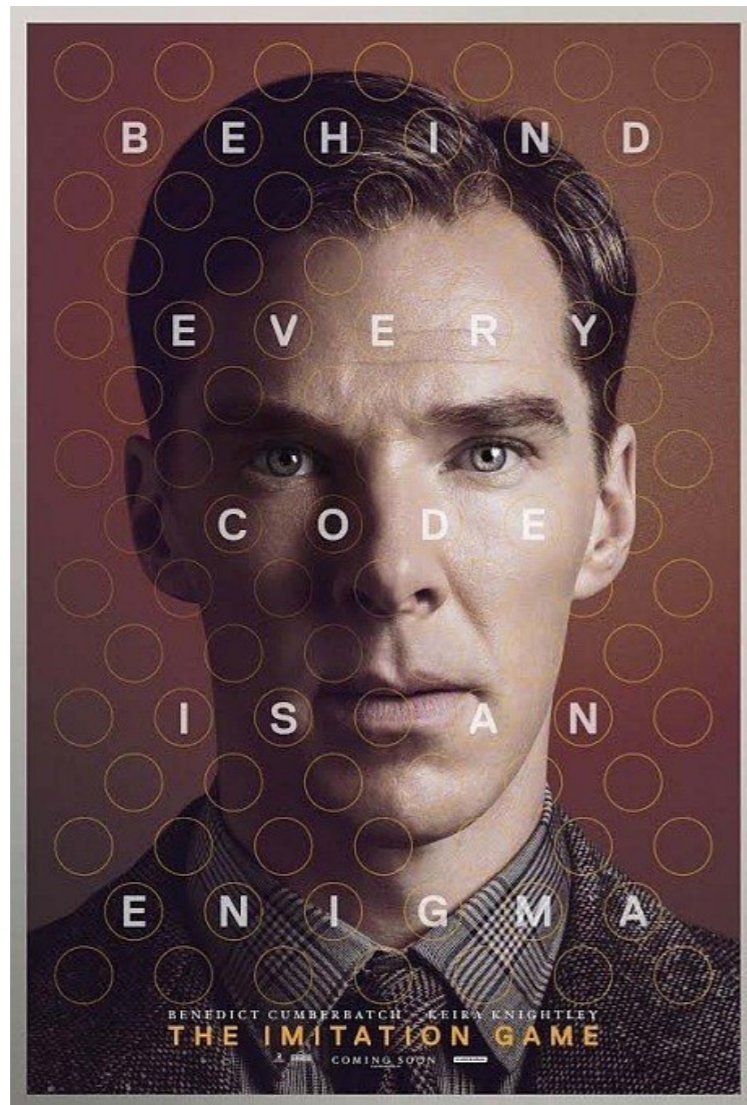


# Automata & languages

A primer on the Theory of Computation



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Part 5 out of 5

Last week was all about

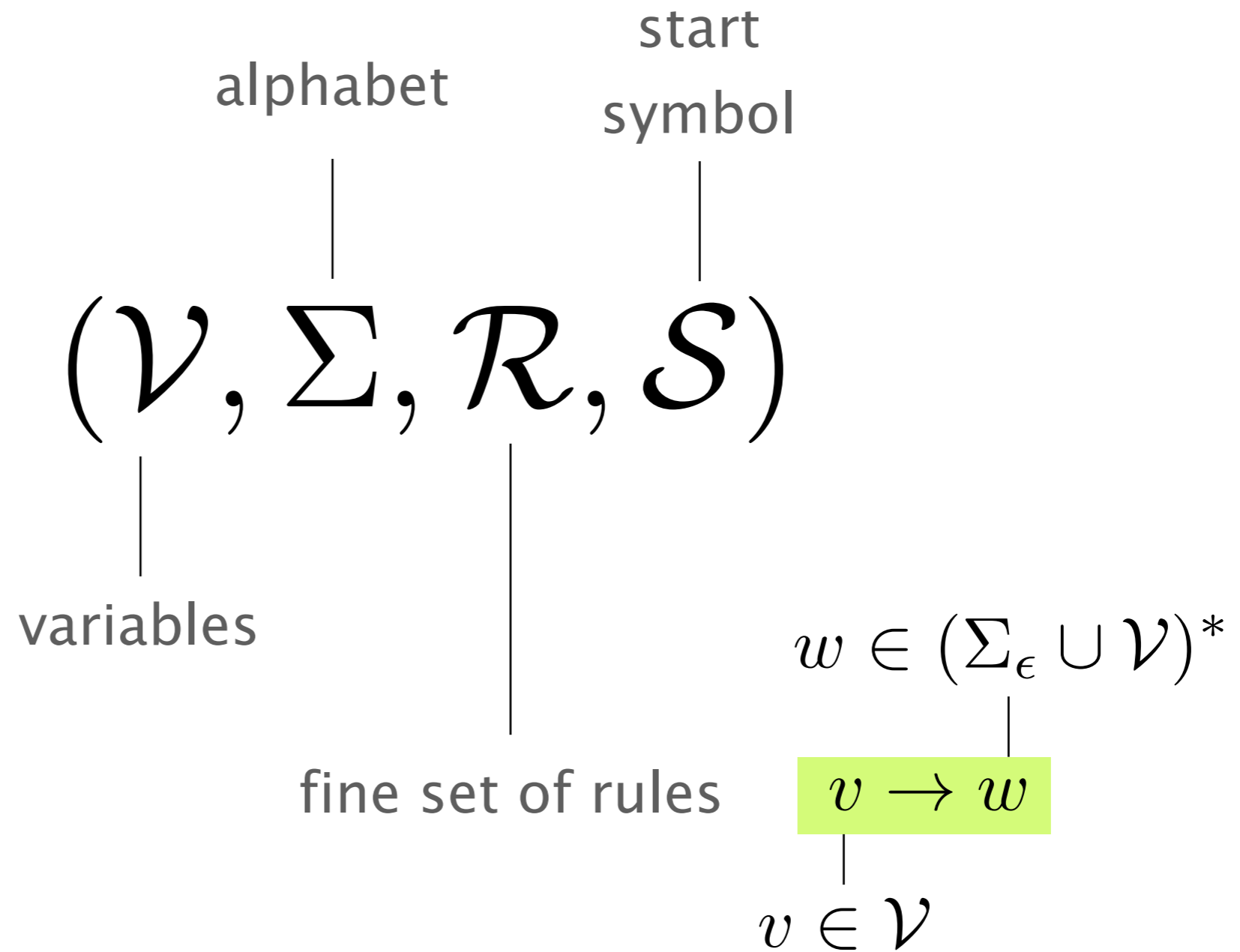
# Context-Free Languages

# Context-Free Languages

a superset of Regular Languages

Example  $\{0^n 1^n \mid n \geq 0\}$  is a CFL but not a RL

# We saw the concept of Context-Free Grammars



# CFG's: Proving Correctness

- The recursive nature of CFG's means that they are especially amenable to correctness proofs.

- For example let's consider again our grammar

$$G = (S \rightarrow \varepsilon \mid ab \mid ba \mid aSb \mid bSa \mid SS)$$

- We claim that  $L(G) = L = \{x \in \{a,b\}^* \mid n_a(x) = n_b(x)\}$ , where  $n_a(x)$  is the number of  $a$ 's in  $x$ , and  $n_b(x)$  is the number of  $b$ 's.

- *Proof:* To prove that  $L = L(G)$  is to show both inclusions:

*i.*  $L \subseteq L(G)$ : Every string in  $L$  can be generated by  $G$ .

*ii.*  $L \supseteq L(G)$ :  $G$  only generate strings of  $L$ .

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Part *ii.* is easy (see why?), so we'll concentrate on part *i.*

## Proving $L \subseteq L(G)$

- $L \subseteq L(G)$ : Show that every string  $x$  with the same number of  $a$ 's as  $b$ 's is generated by  $G$ . Prove by induction on the length  $n = |x|$ .
- **Base case:** The empty string is derived by  $S \rightarrow \varepsilon$
- **Inductive hypothesis:**  
Assume that  $G$  generates all strings of equal number of  $a$ 's and  $b$ 's of (even) length up to  $n$ .

Consider any string of length  $n+2$ . There are essentially 4 possibilities:

1.  $awb$
2.  $bwa$
3.  $awa$
4.  $bwb$



## Proving $L \subseteq L(G)$

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Consider any string of length  $n+2$ . There are essentially 4 possibilities:

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Given  $S \Rightarrow^* w$ ,  $awb$  and  $bwa$  are generated from  $w$  using the rules  $S \rightarrow aSb$  and  $S \rightarrow bSa$  (induction hypothesis)

## Proving $L \subseteq L(G)$

- Inductive hypothesis:

Now, consider a string like  $awa$ . For it to be in  $L$  requires that  $w$  isn't in  $L$  as  $w$  needs to have 2 more  $b$ 's than  $a$ 's.

- Split  $awa$  as follows:  ${}_0a_1 \dots {}_{-1}a_0$   
where the subscripts after a prefix  $v$  of  $awa$  denotes  $n_a(v) - n_b(v)$
- Think of this as counting starting from 0.  
Each  $a$  adds 1. Each  $b$  subtracts 1. At the end, we should be at 0.

Somewhere along the string (in  $w$ ), the counter crosses 0 (more  $b$ 's)

## Proving $L \subseteq L(G)$

- Inductive hypothesis:

Somewhere along the string (in  $w$ ), the counter crosses 0:

$$\begin{array}{c} \xleftrightarrow{u} \\ {}_0 a_1 \dots {}_{-1} x_0 y \dots {}_{-1} a_0 \text{ with } x, y \in \{a, b\} \\ \xleftrightarrow{v} \end{array}$$

- $u$  and  $v$  have an equal number of  $a$ 's and  $b$ 's and are shorter than  $n$ .
- Given  $S \Rightarrow^* u$  and  $S \Rightarrow^* v$ , the rule  $S \rightarrow SS$  generates  $awa = uv$  (induction hypothesis)
- The same argument applies for strings like  $bwb$

As for Regular Languages,

Context-Free Languages are recognized by “machines”

Language

Regular

Context-Free

Machine

DFA/NFA

PDA

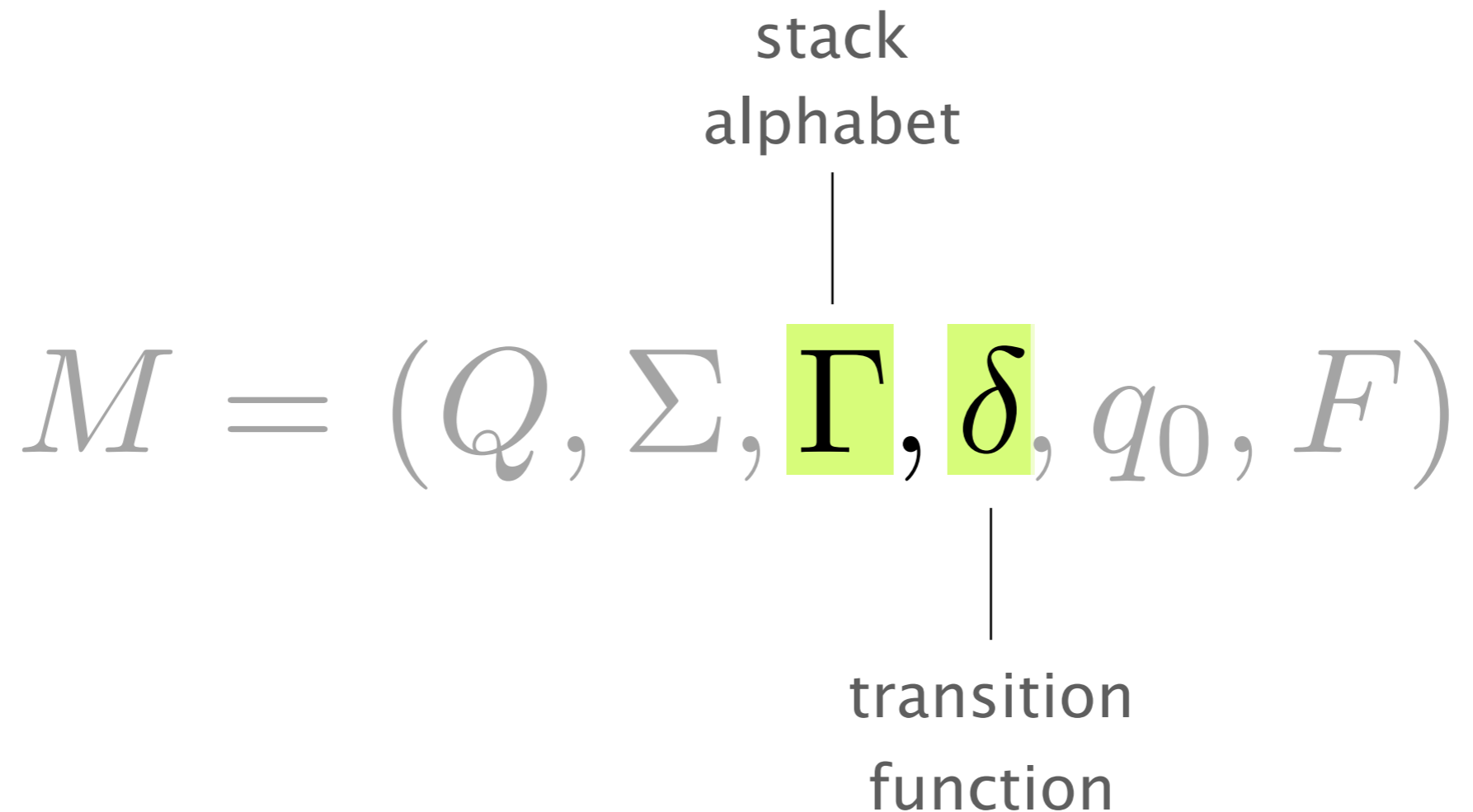
Push-Down Automatas are pretty similar to DFAs

$$M = (Q, \Sigma, \Gamma, \delta, q_0, F)$$

Diagram illustrating the components of a Push-Down Automaton (PDA) tuple  $M = (Q, \Sigma, \Gamma, \delta, q_0, F)$ :

- $Q$ : states
- $\Sigma$ : alphabet
- $\Gamma$ : start state
- $\delta$ : transition function
- $q_0$ : start state
- $F$ : accepting states

Push-Down Automatas are pretty similar to DFAs  
except for... **the stack**

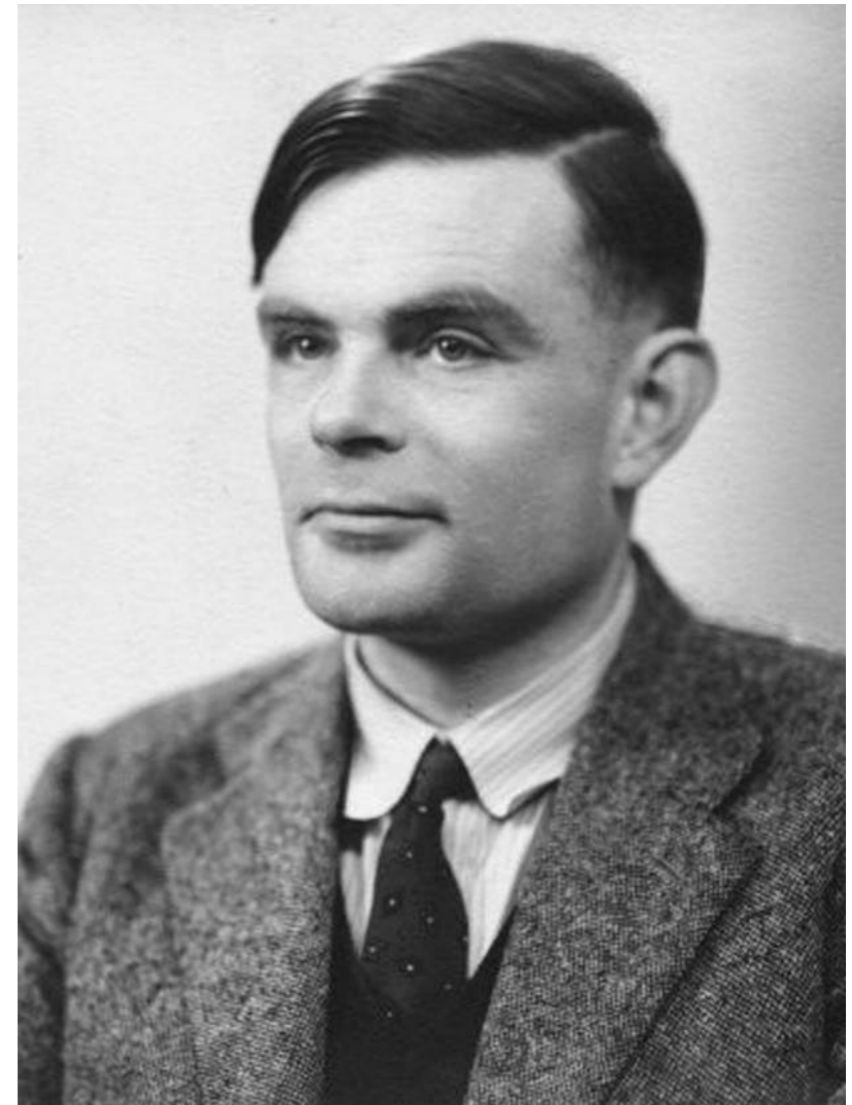


$$Q \times \Sigma_\epsilon \times \Gamma_\epsilon \rightarrow P(Q \times \Gamma_\epsilon)$$

This week, we'll see that  
**computers are not limitless**

Some problems  
**cannot be solved**  
by a computer  
(no matter its power)

Alan Turing (1912-1954)



But before that, we'll prove  
some extra properties about Context-Free Languages

Today's plan

Thu Oct 18

- 1 PDA  $\approx$  CFG
- 2 Pumping lemma for CFL
- 3 Turing Machines



## Even smarter automata...

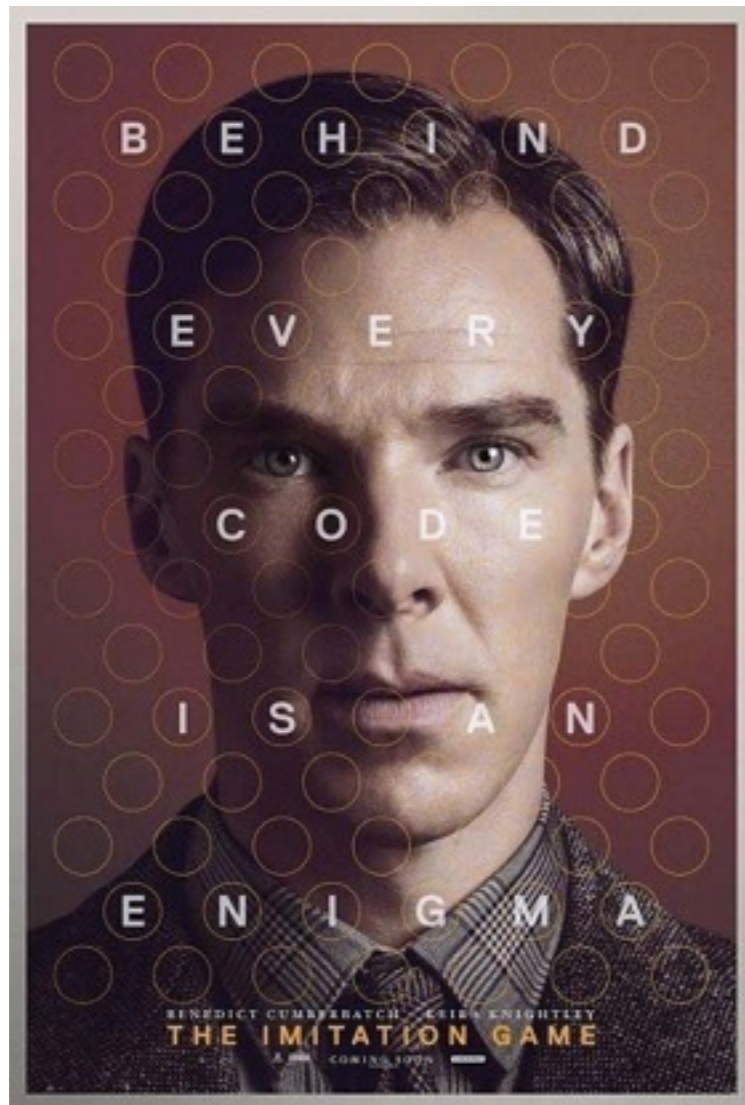
- Even though the PDA is more powerful than the FA, it is still **really** stupid, since it doesn't understand a lot of important languages.
- Let's try to make it more powerful by adding a **second stack**
  - You can push or pop from either stack, also there's still an input string
  - Clearly there are quite a few "implementation details"
  - It seems at first that it doesn't help a lot to add a second stack, but...

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  - It seems at first that it doesn't help a lot to add a second stack, but...
- Lemma: A PDA with two stacks is **as powerful as** a machine which operates on an infinite tape (restricted to read/write only "current" tape cell at the time – known as "Turing Machine").
  - Still that doesn't sound very exciting, does it...?!?

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regular  
language

context-free  
language

Part 3

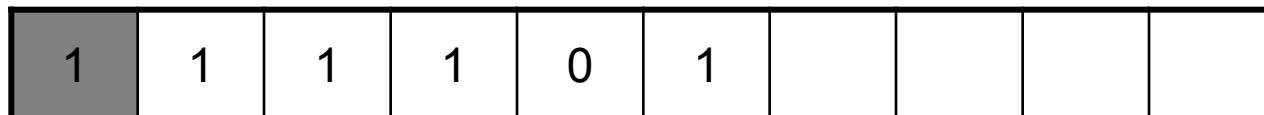
turing  
machine

# Turing Machine

- A **Turing Machine (TM)** is a device with a finite amount of *read-only* “*hard*” memory (states), and an unbounded amount of read/write tape-memory. There is no separate input. Rather, the input is assumed to reside on the tape at the time when the TM starts running.
- Just as with Automata, TM’s can either be input/output machines (compare with Finite State Transducers), or yes/no decision machines.

# Turing Machine: Example Program

- Sample Rules:
  - If read 1, write 0, go right, repeat.
  - If read 0, write 1, HALT!
  - If read  $\square$ , write 1, HALT! (the symbol  $\square$  stands for the blank cell)
- Let's see how these rules are carried out on an input with the *reverse* binary representation of 47:



# Turing Machine: Formal Definition

- Definition: A **Turing machine** (TM) consists of a 7-tuple

$$M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{acc}}, q_{\text{rej}}).$$

- $Q$ ,  $\Sigma$ , and  $q_0$ , are the same as for an FA.
- $q_{\text{acc}}$  and  $q_{\text{rej}}$  are accept and reject states, respectively.
- $\Gamma$  is the tape alphabet which necessarily contains the blank symbol  $\bullet$ , as well as the input alphabet  $\Sigma$ .
- $\delta$  is as follows:

$$\delta : (Q - \{q_{\text{acc}}, q_{\text{rej}}\}) \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$$

- Therefore given a non-halt state  $p$ , and a tape symbol  $x$ ,  $\delta(p, x) = (q, y, D)$  means that TM goes into state  $q$ , replaces  $x$  by  $y$ , and the tape head moves in direction  $D$  (left or right).

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- A string  $x$  is **accepted** by  $M$  if after being put on the tape with the Turing machine head set to the left-most position, and letting  $M$  run,  $M$  eventually enters the accept state. In this case  $w$  is an element of  $L(M)$ 
  - the language accepted by  $M$ .

# Comparison

Device	Separate Input?	Read/Write Data Structure	Deterministic by default?
FA	Yes	None	Yes
PDA	Yes	LIFO Stack	No
TM	No	1-way infinite tape. 1 cell access per step.	Yes (but will also allow crashes)

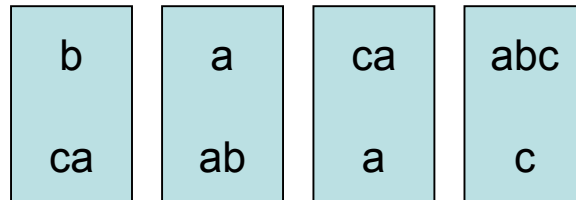


# Turing Machine: Goals

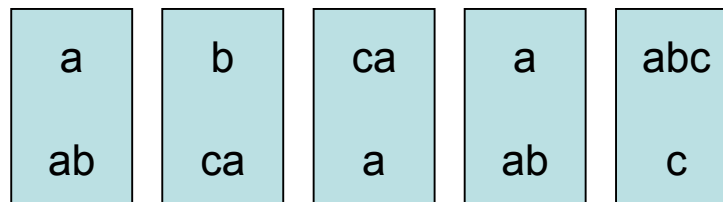
- First Goal of Turing's Machine: A "computer" which is as **powerful** as any real computer/programming language
  - As powerful as C, or "Java++"
  - Can execute all the same algorithms / code
  - Not as fast though (move the head left and right instead of RAM)
  - Historically: A model that can compute anything that a human can compute. Before invention of electronic computers the term "computer" actually referred to a *person* who's line of work is to calculate numerical quantities!
  - This is known as the [Church-[Post-]] Turing thesis, 1936.
- Second Goal of Turing's Machine: And at the same time a model that is **simple** enough to actually prove interesting epistemological results.

# Can a computer compute anything...?!?

- Given collection of dominos, e.g.



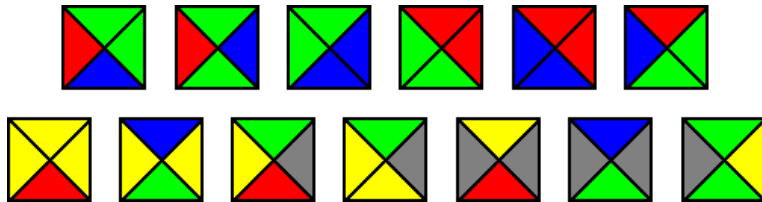
- Can you make a list of these dominos (repetitions are allowed) so that the top string equals the bottom string, e.g.



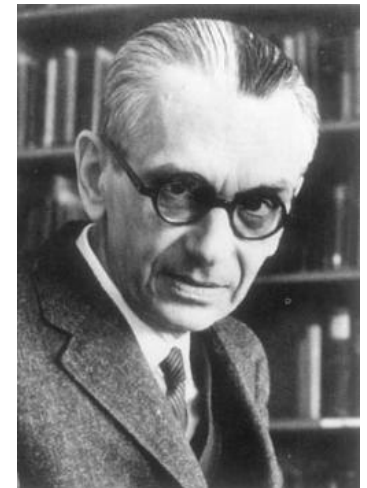
- This problem is known as Post-Correspondance-Problem.
- It is provably **unsolvable** by computers!

# Also the Turing Machine (the Computer) is limited

- Similarly it is undecidable whether you can cover a floor with a given set of floor tiles (famous examples are Penrose tiles or Wang tiles)



- Examples are leading back to Kurt Gödel's incompleteness theorem
  - “Any powerful enough axiomatic system will allow for propositions that are undecidable.”

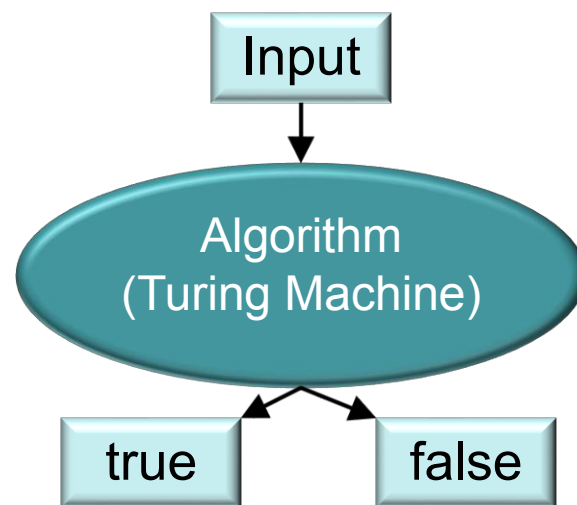


# Decidability

- A **function is computable** if there is an algorithm (according to the Church-Turing-Thesis a **Turing machine** is sufficient) that computes the function (in finite time).

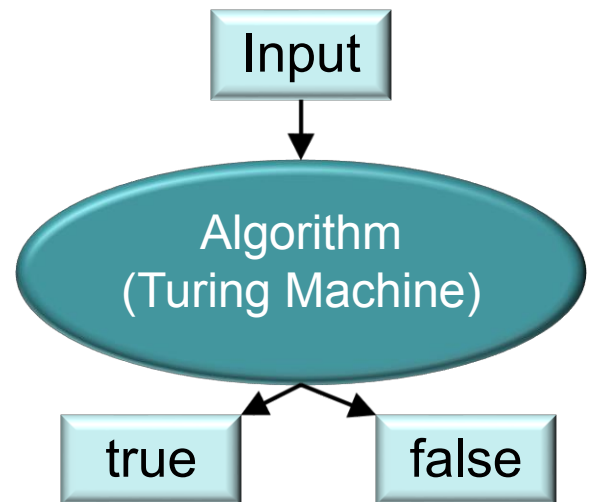
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- A more general class are the **semi-decidable** problems, for which the algorithm must only terminate in finite time in either the true or the false branch, but not the other.



# Halting Problem

- The halting problem is a famous example of an **undecidable** (semi-decidable) **problem**. Essentially, you cannot write a computer program that decides whether another computer program ever terminates (or has an infinite loop) on some given input.
- In pseudo code, we would like to have:

```
procedure halting(program, input) {  
    if program(input) terminates  
    then return true  
    else return false  
}
```

# Halting Problem: Proof

- Now we write a little wrapper around our halting procedure

```
procedure test(program) {  
    if halting(program,program) =true  
    then loop forever  
    else return  
}
```

- Now we simply run: `test(test)!` **Does it halt?!?**



## Excursion: P and NP

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- **NP** is the class of decision problems solvable by a non-deterministic polynomial time Turing machine such that the machine answers "yes," if at least one computation path accepts, and answers "no," if all computation paths reject.
  - Informally, there is a Turing machine which can check the correctness of an answer in polynomial time.
  - E.g. one can check in polynomial time whether a traveling salesperson path connects  $n$  cities with less than a total distance  $d$ .

# NP-complete problems

- An important notion in this context is the large set of **NP-complete** decision problems, which is a subset of NP and might be informally described as the "hardest" problems in NP.
- If there is a polynomial-time algorithm for even one of them, then there is a polynomial-time algorithm for **all** the problems in NP.
  - E.g. Given a set of  $n$  integers, is there a non-empty subset which sums up to 0? This problem was shown to be NP-complete.
  - Also the traveling salesperson problem is NP-complete, or Tetris, or Minesweeper.

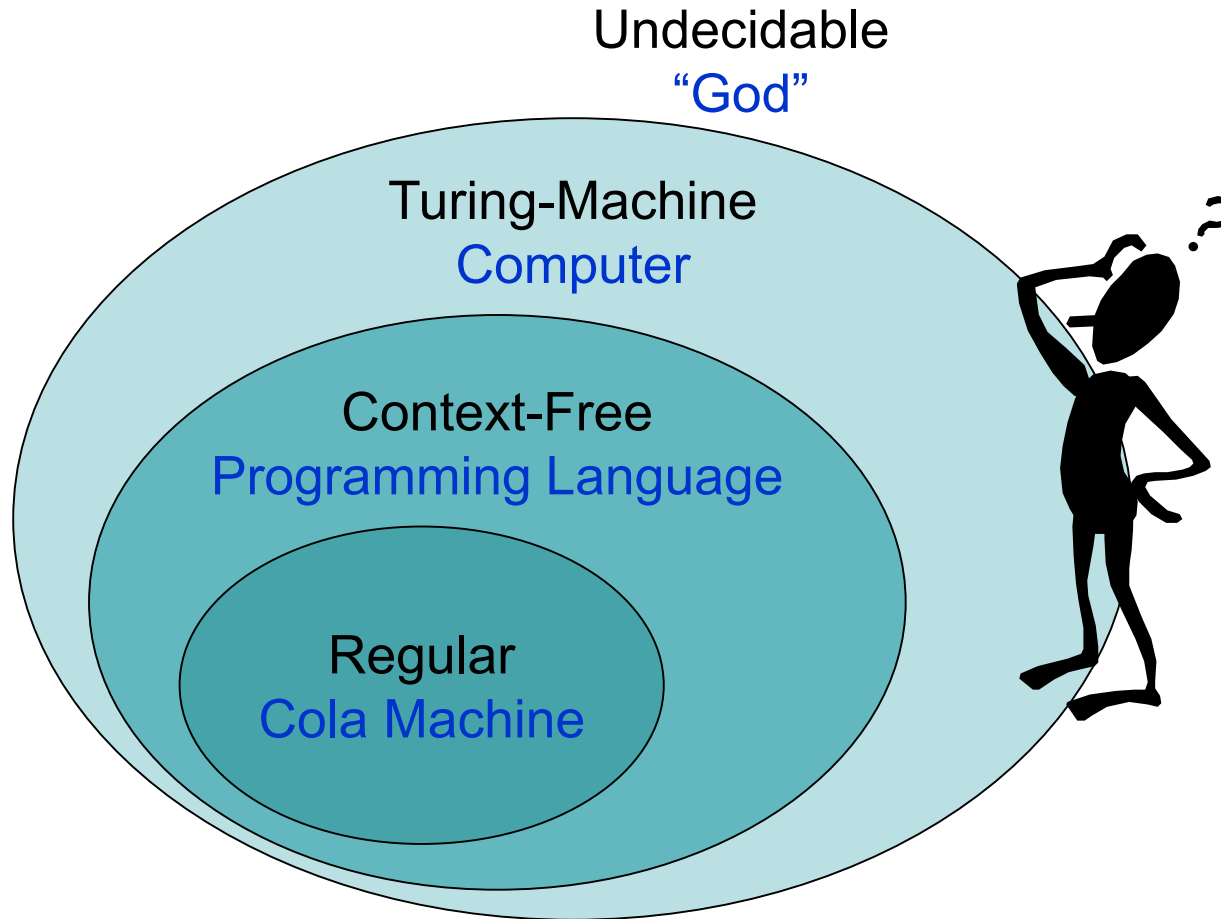
P = NP?

A glowing neon sign in the shape of the mathematical expression "P = NP?". The sign is made of bright white neon tubing and is mounted on a dark, vertically-grained wooden surface. The characters are stylized: the 'P' is a simple loop, the '=' consists of two parallel horizontal lines, the 'N' is a tall, narrow shape, the 'P' is another loop, and the '?' is a standard question mark. Two thin white wires are visible at the bottom, one on the left and one on the right, connected to the sign's terminals.

# P vs. NP

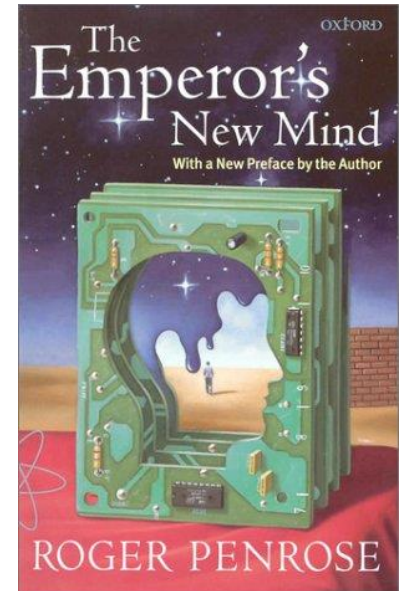
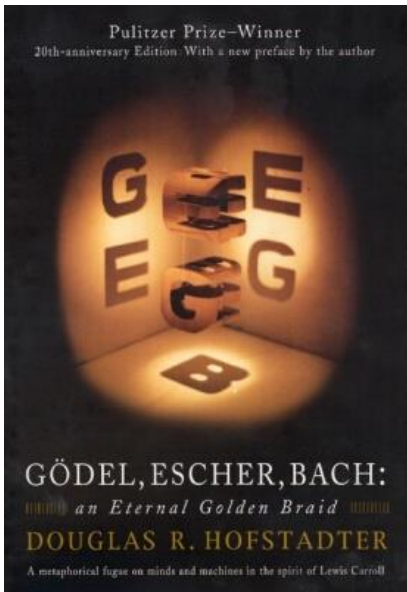
- One of the big questions in Math and CS: **Is  $P = NP$ ?**
  - Or are there problems which cannot be solved in polynomial time.
  - Big practical impact (e.g. in Cryptography).
  - One of the seven **\$1M problems** by the Clay Mathematics Institute of Cambridge, Massachusetts.

# Summary (Chomsky Hierarchy)



# Bedtime Reading

If you're leaning towards "human = machine"



If you're leaning towards "human  $\supset$  machine"